

PATENT APPLICATION

PTO/SB/08A MODIFIED

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| Substitute for form 1449 APT AUG 01 2005 | | Complete If Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 10/763,085 |
| | | Filing Date | January 22, 2004 |
| | | First Named Inventor | Giuseppe Di Fabbriozio, et al. |
| | | Group Art Unit | 2654 |
| | | Examiner Name | UNKNOWN |
| Sheet 1 of 1 | Attorney Docket Number | 2002-0354 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|----------------------|---|--|--|--|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
| /P.S./ | | 2003-105634 A1 | | Alicia Abella, et al. | 6-05-2003 | |

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|--------------------------|--------------------------|-------------------------|----------------------|---------------------------|--|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ³ |
| | | Office ⁴ | Number (if known) | Kind Code ² | | | | |
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| Examiner Signature | | | | | Date Considered | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | T ² |
| /P.S./ | 1 | E. Ammicht, et al. "Ambiguity Representation and Resolution in Spoken Dialogue Systems", EUROSPEECH 2001, Vol. 3, September 2001 pgs. 2217-2220 | | | <input type="checkbox"/> |
| /P.S./ | 2. | K. Macherey, et al. "Features for Tree Based Dialogue Course Management", EUROSPEECH 2003, September 2003, pgs 601-604 | | | |
| /P.S./ | 3 | C. Lewis, et al. "A Clarification Algorithm for Spoken Dialogue Systems", ICASSP 2005, March 18, 2005, whole document (4 pages) | | | |
| /P.S./ | 4 | G. De Fabbriozio, et al. "An XPath-based Discourse Analysis Module for Spoken Dialogue Systems", 13 th International WWW Conference, May 17, 2004, whole document (pgs. 408-409) | | | |

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| Examiner Signature | /Paras Shah/ | Date Considered | 08/08/2007 |
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| Sheet | 1 | of | 52 | | |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | Number | Kind Code ² (if known) | | | |
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| Examiner Signature <u>/Paras Shah/</u> | Date Considered <u>08/08/2007</u> |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| /P.S./ | 1 | Alicia Abella, Allen L. Gorin, "Generating Semantically Consistent Inputs to a Dialog Manager", EuropSpeech 1997 | <input type="checkbox"/> |
| /P.S./ | 2 | Xuedong Huang, Alex Acero, Hsiao-Wuen Hon, Spoken Language Processing, A Guide to Theory, Algorithm, and System Development, pages 887-918, Prentice Hall 2001. | <input type="checkbox"/> |
| | 3 | | <input type="checkbox"/> |
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